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(Quyen Nguyen)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/436,455
Confirmation No.: 6666
Filing Date: November 8, 1999
Inventor(s): Christopher J. DANEK et al
Title: DEVICES FOR MODIFICATION OF AIRWAYS BY TRANSFER
OF ENERGY
Examiner: D. Shay
Group Art Unit: 3735

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patent
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.97 and §1.98, Applicants submit for consideration in the above-captioned application the documents listed on the attached Form PTO/SB/08a/b. Copies of foreign documents and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. §1.97(e)(1) has been provided.

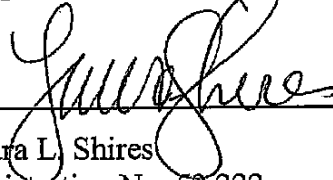
Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. §1.97 and §1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this

application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event the appropriate fee and/or petition is not filed herewith and the U.S. Patent and Trademark Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with this filing to **Deposit Account No. 50-3973** referencing Attorney Docket No. **ASTXNA00300**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,



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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		<i>Complete if Known</i>		
		Application Number	09/436,455	
		Filing Date	November 8, 1999	
		First Named Inventor	Christopher James DANEK	
		Art Unit	3735	
		Examiner Name	D. Shay	
Sheet	1	of	3	Attorney Docket No: ASTXNA00300

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-4461283	07-24-1984	DOI; Yuzuru	
		US-4567882	02-04-1986	HELLER; Richard M.	
		US-4802492	02-07-1989	GRUNSTEIN; Michael M.	
		US-4976709	12-11-1990	SAND; Bruce J.	
		US-5096916	03-17-1992	SKUPIN; Alvaro H.	
		US-5152286	10-06-1992	SITKO; Sergei P. et al.	
		US-5755753	05-26-1998	KNOWLTON; Edward W.	
		US-6198970	03-06-2001	FREED; Marcy L. et al.	
		US-6283989	09-04-2001	LAUFER; Michael D. et al.	
		US-6299633	10-09-2001	LAUFER; Michael D.	
		US-6338836	01-15-2002	KUTH; Rainer et al.	
		US-6634363	10-21-2003	DANEK; Christopher James et al.	
		US-20020091379A1	07-11-2002	DANEK; Christopher J. et al.	
		US-20040031494A1	02-19-2004	DANEK; Christopher James et al.	
		US-20040182399A1	09-23-2004	DANEK; Christopher James et al.	
		US-20050010270A1	01-13-2005	LAUFER, Michael D.	

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				Examiner Name	D. Shay	
Sheet	2	of	3	Attorney Docket No: ASTXNA00300		

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Documents	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ -Kind Code ⁵ (if Known)				
		RU-2053814C1	02-10-1996	NOVIKOV VALERIJ NIKOLAEVICH		✓
		RU-2091054C1	09-27-1997	VLADIVOSTOKSKIJ GOSUDARSTVENNYJ MEDITSINSKIJ UNIVERSITET		✓
		WO-9733715A1	09-18-1997	BOOTSMAN HOLDING B.V.		

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Sheet	3	of	3	Attorney Docket No: ASTXNA00300

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
		PROVOTOROV et al., The Clinical Efficacy of Treating Patients with Nonspecific Lung Disease by Using Low-energy Laser Irradiation and Intrapulmonary Drug Administration, ISSN: 0040-3660., Terapevticheskii Arkhiv (USSR), 1991, 63 (12), 18-23.	
		VOROTNEV et al., Low energy laser treatment of chronic obstructive bronchitis in a general rehabilitation center, ISSN: 0040-3660., Terapevticheskii Arkhiv, 1997, 69 (3), 17-19.	
		IVANIUTA O. M. et al., Effect Of Low-Power Laser Irradiation Of Bronchial Mucosa On The State Of Systemic And Local Immunity In Patients With Chronic Bronchitis, Problemy Tuberkuleza, 1991, 6, 26-29.	

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